

## RECOMMENDATIONS FOR USE OF FREE LIGHT CHAIN ASSAY IN MONOCLONAL GAMMOPATHIES

### PREPORUKE ZA PRIMENU TESTA SLOBODNIH LAKIH LANACA KOD MONOKLONSKIH GAMAPATIJA

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**Summary:** The serum immunoglobulin free light chain assay measures levels of free  $\kappa$  and  $\lambda$  immunoglobulin light chains. There are three major indications for the free light chain assay in the evaluation and management of multiple myeloma and related plasma cell disorders. In the context of screening, the serum free light chain assay in combination with serum protein electrophoresis and immunofixation yields high sensitivity, and negates the need for 24-hour urine studies for diagnoses other than light chain amyloidosis. Second, the baseline free light chains measurement is of major prognostic value in virtually every plasma cell disorder. Third, the free light chain assay allows for quantitative monitoring of patients with oligosecretory plasma cell disorders, including AL, oligosecretory myeloma, and nearly two-thirds of patients who had previously been deemed to have non-secretory myeloma. In AL patients, serial free light chains measurements outperform protein electrophoresis and immunofixation. In oligosecretory myeloma patients, although not formally validated, serial free light chains measurements reduce the need for frequent bone marrow biopsies. In contrast, there are no data to support using free light chain assay in place of 24-hour urine electrophoresis for monitoring or for serial measurements in plasma cell disorders with measurable disease by serum or urine electrophoresis.

**Keywords:** serum free light chains, recommendations, free light chain assay, plasma cell disorders

**Kratak sadržaj:** Serumski test slobodnih lakih lanaca meri nivoje imunoglobulinskih slobodnih  $\kappa$  i  $\lambda$  lakih lanaca. Tri su glavne indikacije za primenu serumskog testa slobodnih lakih lanaca u proceni i lečenju multiplog mijeloma i srodnih plazma ćelijskih poremećaja. U kontekstu skrininga, test slobodnih lakih lanaca u kombinaciji sa elektroforezom proteina seruma i imunofiksacijom doprinosi visokoj osetljivosti, čime se izbegava upotreba 24-časovnih urinarnih testova za otkrivanje amiloidoznih lakih lanaca. Referentna merenja slobodnih lakih lanaca imaju veliku prognostičku vrednost za gotovo sve plazma ćelijske poremećaje. Test slobodnih lakih lanaca omogućava kvantitativno praćenje bolesnika sa oligosekretornim plazma ćelijskim poremećajima, uključujući primarnu, sistemsku AL amiloidozu, oligosekretorni mijelom, i oko 2/3 bolesnika sa nesekretornim multiplim mijelomom. Kod bolesnika sa primarnom, sistemskom AL amiloidozom, serijska merenja slobodnih lakih lanaca prevazilaze testove elektroforeze proteina i imunofiksacije. Kod bolesnika sa oligosekretornim mijelomom, iako neformalno validirana, serijska merenja slobodnih lakih lanaca umanjuju potrebu za čestim biopsijama koštane srži. Nasuprot tome, ne postoji podrška za primenu testa slobodnih lakih lanaca umesto 24-časovne urinarne elektroforeze za praćenje ili serijska merenja plazma ćelijskih poremećaja, koja se mogu izmeriti serumskom ili urinarnom elektroforezom.

**Ključne reči:** serumski slobodni laki lanci, preporuke, test slobodnih lakih lanaca, plazma ćelijski poremećaji

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*List of used abbreviations:* MG – monoclonal gammopathies; MGUS – monoclonal gammopathy of undetermined significance; MM – multiple myeloma; AL – amyloidosis; Ig – immunoglobulin; FLC – free light chains; PEP – protein electrophoresis; IE – immunoelectrophoresis; IFE – immunofixation electrophoresis; PCD – plasma cell disorders; kappa –  $\kappa$ ; lambda –  $\lambda$ ; rFLC –  $\kappa/\lambda$  FLC ratio; LCMM – light chain multiple myeloma; NSMM – non-secretory multiple myeloma; SMM – smoldering (asymptomatic) multiple myeloma; BMPC – bone marrow plasma cells; ISS – international staging system; CR – complete response; dFLC – difference between the involved and uninvolved FLC.

## Introduction

The monoclonal plasmaproliferative disorders encompass a broad spectrum of diseases ranging from the often benign monoclonal gammopathy of undetermined significance (MGUS) to the potentially curable solitary plasmacytoma to the life-threatening conditions of multiple myeloma (MM) and light chain amyloidosis (AL) (Figure 1). For each of these diseases, measurements of circulating monoclonal immunoglobulins (Ig) have been the mainstay of diagnosis, prognosis and management. Until the 1990s, the repertoire of tests to document and measure the monoclonal Ig included electrophoresis (PEP), immunoelectrophoresis (IE), immunofixation electrophoresis (IFE), and nephelometric measurement of Ig heavy chains of serum. For most MGUS and MM patients, these measurements appeared to be sufficient; however, they were inadequate for the majority of patients with AL and more than 3% of myeloma patients with non-secretory or oligosecretory myeloma.

In the early 2000s an assay that measured serum Ig free light chains (FLC) was developed (1). This assay differentiated itself from prior light chain reagents that were called quantitative light chain measurements in that these novel polyclonal antibodies reacted with only those epitopes that were hidden when bound to a heavy chain but available when not associated with a heavy chain (Figure 2). This assay has moved into clinical practice based on the building evidence of its utility.

Serum concentrations of FLC are dependent on the balance between production by plasma cells (Figure 3) and renal clearance. Serum FLC are cleared rapidly through the renal glomeruli with a serum half-life of 2–4 hours and are then metabolized in the proximal tubules of the nephrons. Under ordinary circumstances, little protein escapes to the urine, and serum FLC concentrations have to increase manifold before the absorption mechanisms are overwhelmed (2). Approximately 10–30 g of FLC can be metabolized per day by the kidneys compared with normal plasma-cell production of 0.5–1 g per day (3).

Abnormal concentrations of kappa ( $\kappa$ ) and lambda ( $\lambda$ ) FLC may result from a number of clinical

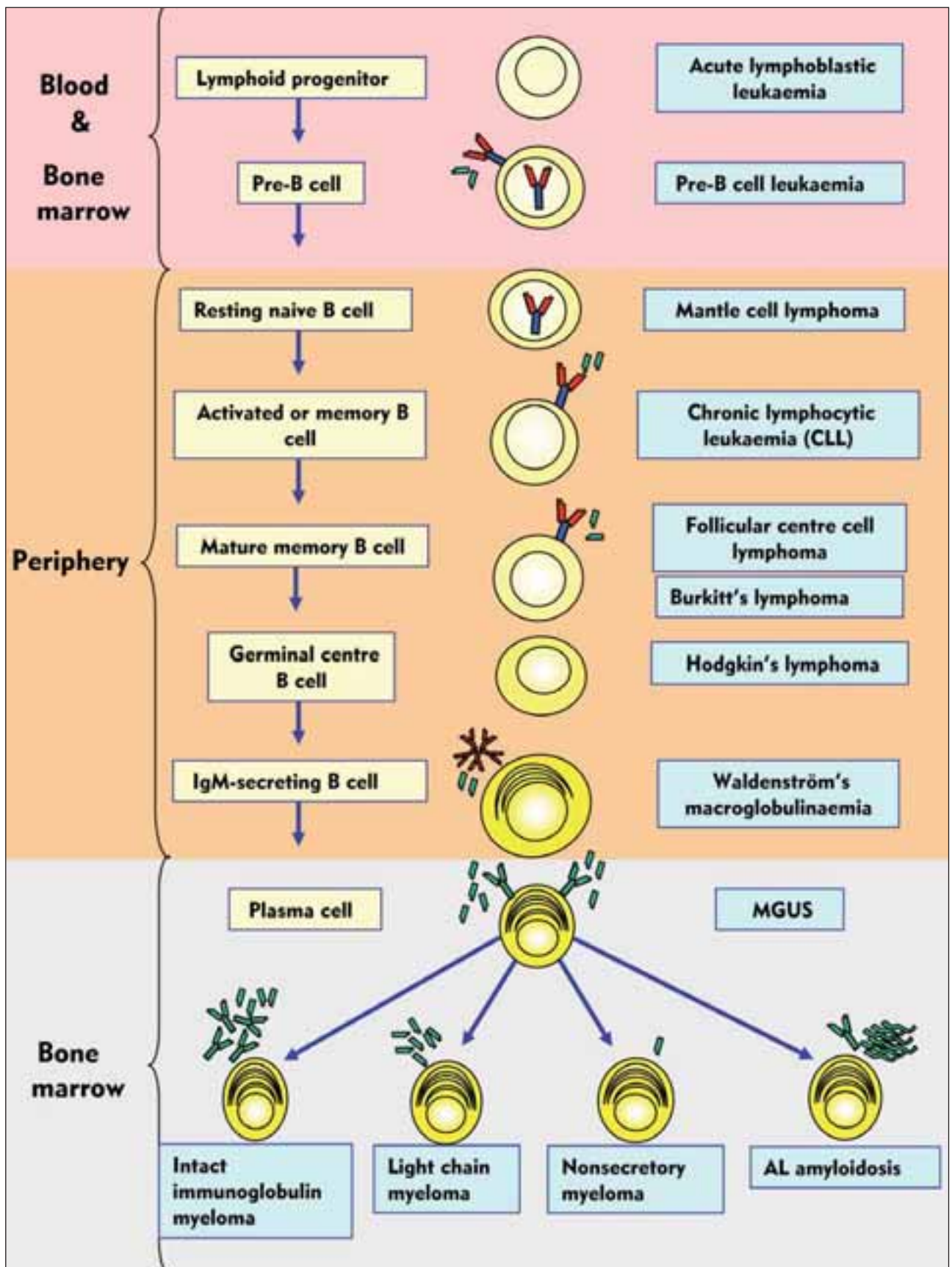
situations including immune suppression, immune stimulation, reduced renal clearance, or monoclonal plasma cell disorders (PCD). Sera from patients with either polyclonal hypergammaglobulinemia or renal impairment often have elevated  $\kappa$  FLC and  $\lambda$  FLC due to increased synthesis or reduced renal clearance respectively. The  $\kappa/\lambda$  FLC ratio (rFLC), however, usually remains normal in these conditions (4). A significantly abnormal  $\kappa/\lambda$  rFLC should only be due to a plasmaproliferative (or lymphoproliferative) disorder that secretes excess FLC and disturbs the normal balance between  $\kappa$  and  $\lambda$  secretion.

## Serum FLC assay and reference ranges

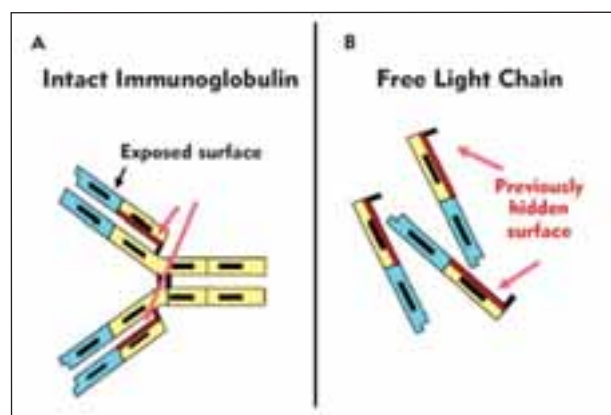
The serum FLC assay (FREELITE™, The Binding Site Ltd., Birmingham, U.K.) is based on a commercial reagent set of polyclonal antibodies and is performed by immunonephelometry and it can be performed on a number of automated laboratory instruments (1). The assay consists of 2 separate measurements: one to quantitate  $\kappa$  FLC and the other to quantitate  $\lambda$  FLC. Sensitive hemmagglutination assays showed reactivity to cells coated with the appropriate FLC at dilutions of >1:16,000 and no reactivity to light chains contained in intact Ig at dilutions of <1:2. The greater the specificity, the better one's ability to quantitate  $\kappa$  and  $\lambda$  FLC in the presence of a large excess of serum IgG, IgA and IgM. In normal individuals and in the majority of patients with myeloma, most of the circulating light chain is bound to heavy chains – making less specific reagents a near surrogate for circulating heavy chain measurement (5). Katzmann et al. (4) defined the normal range using fresh and frozen sera from 127 healthy donors aged 21–62 years and frozen sera from 155 donors aged 51–90 years from the serum bank. The 95% reference interval for  $\kappa$  FLC was 3.3–19.4 mg/L, and that for  $\lambda$  FLC was 5.7–26.3 mg/L. For the  $\kappa/\lambda$  ratio, the 95% reference interval was 0.3–1.2 (Table I), but it was decided that the diagnostic range should include 100% of donors, making the normal diagnostic range for FLC  $\kappa/\lambda$  0.26–1.65. Using the 100% confidence interval increased the specificity of the test from 95% to 100%, with a drop in sensitivity from 98% to 97%. Patients with ratios greater than 1.65 contain excess  $\kappa$  FLC and

**Table I** Serum reference ranges (4).

| Normal Adult Serum     | Mean Concentration mg/L | Median Concentration (mg/L) | 95 Percentile Range (mg/L) |
|------------------------|-------------------------|-----------------------------|----------------------------|
| Free $\kappa$          | 8.36                    | 7.30                        | 3.30–19.40                 |
| Free $\lambda$         | 13.43                   | 12.40                       | 5.71–26.30                 |
| $\kappa/\lambda$ ratio | Mean                    | Mean                        | Median                     |
|                        | 0.63                    | Total range<br>0.60         | 0.26–1.65                  |



**Figure 1** Development of the B-cell lineage and associated diseases.

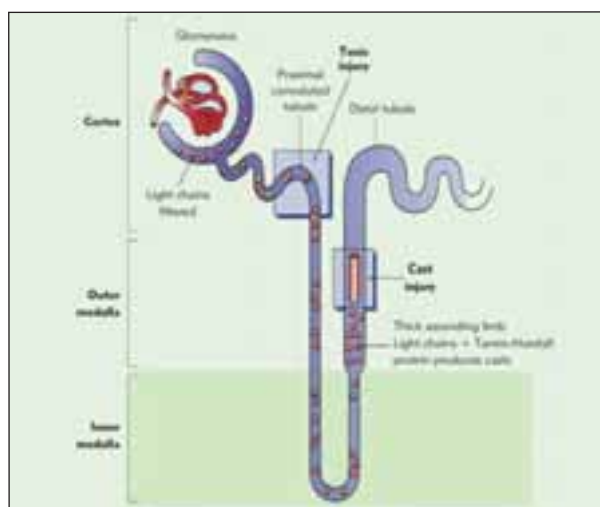


**Figure 2** Ig-FLC assay. (a) Shows the location of the hidden light chain determinants in the intact Ig model. (b) Shows the location of the hidden light chain determinants in the FLC model.

**Table II** Rates of abnormal FLC ratio in different plasma cell disorders.

| Disease                             | n    | Abn rFLC, % |
|-------------------------------------|------|-------------|
| Multiple myeloma (MM)               |      |             |
| Symptomatic MM (8)                  | 790  | 95          |
| Symptomatic MM (9)                  | 456  | 96          |
| Symptomatic MM (10)                 | 61   | 97          |
| Symptomatic MM (11)                 | 399  | 96          |
| Non secretory MM (12)               | 28   | 68          |
| Non secretory MM (13)               | 5    | 100         |
| Light chain MM (4)                  | 224  | 100         |
| Light chain MM (14)                 | 28   | 100         |
| Smoldering MM (13)                  | 72   | 88          |
| Smoldering MM (15)                  | 273  | 90          |
| MGUS (16)                           | 1148 | 33          |
| MGUS (13)                           | 114  | 44          |
| Amyloidosis (17)                    | 95   | 92          |
| Amyloidosis (18)                    | 262  | 98          |
| Amyloidosis (13)                    | 110  | 91          |
| Light chain deposition disease (13) | 28   | 93          |

are presumed to be producing clonal  $\lambda$  FLC. Patients with ratios less than 0.26 contain excess  $\lambda$  FLC and are presumed to be producing clonal  $\lambda$  FLC. The 100% confidence interval used reduces the likelihood that polyclonal activation of B cells will cause an abnormal ratio, but it is possible, and therefore the test must be interpreted in the context of clinical situation. If a patient is in the midst of an infection or a flare of a rheumatologic condition, the test should be repeated at a later date. Although the test is a major advance, it is not without its limitations (6). First, there can be significant lot-to-lot variation (19–20% CV) between batches of polyclonal FLC antisera that may result in variable immunoreactivity of individual monoclonal FLCs and inconsistent results (6). Second, some monoclonal light chains



**Figure 3** Nephron showing filtration, metabolism and excretion of FLCs. (Courtesy of R. Johnson and J. Feehally.)

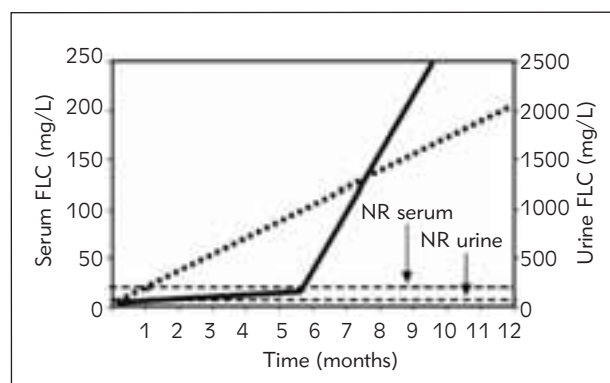
(particularly  $\kappa$  FLC) do not dilute in a linear fashion and may be underestimated in the absence of additional off line dilutions (6). Third, antigen excess can cause falsely low serum FLC results with nephelometric techniques, and manual dilution may be required for clinically suspicious samples (7). For large multi-institutional trials, serious consideration should be made for running samples at a centralized testing facility that performs lot-to-lot comparisons. Fourth, changes in amino acid sequence of the light chain may render certain light chain epitopes unrecognizable to the FLC reagents, but apparent on immunofixation or even electrophoresis (19). Conversely, extreme polymerization can cause an overestimation by as much as 10-fold.

### Limitations of urine measurements

Urine is traditionally used for testing but needs to be concentrated and analyzed by PEP or by IFE (20). These techniques are often inadequate for accurate detection of FLC. Additionally, the amount of FLC in the urine is influenced by renal tubular function since normal kidneys are very efficient in preventing protein leakage from the body. Only when the tumor production of FLC exceeds the resorptive capacity of the kidney does FLC appear in the urine in large amounts (21) (Figure 4).

### Role of the serum FLC assay in diagnosis

It is clear that having excess involving FLC or an abnormal rFLC is common in virtually all PCD (Table II). Historically, the gold standard for screening for PCD has been PEP with IFE of the serum and the urine. The most important screening study was done by Katzmann et al. (8). They asked whether the serum Ig FLC assay could replace urine IFE for



**Figure 4** Changes in serum (dotted line) and urine (solid line) FLC with increasing tumor mass (NR = normal range).

**Table III** Four hundred and twenty-eight patients with urinary monoclonal protein detected by immunofixation electrophoresis (8).

| Laboratory test        | Abnormal, % |
|------------------------|-------------|
| Serum IFE              | 93.5        |
| Serum PEL              | 80.8        |
| Serum FLC              | 85.7        |
| Serum IFE or FLC ratio | 99.5        |

screening patients suspected of having a monoclonal protein related disorder. Within the Mayo Clinic PCD data base, 428 patients who had a positive urine IFE and who had serum PEP with IFE and serum FLC assay testing as a clinical assessment were identified. Serum PEP with IFE alone would have missed the diagnosis in 28 patients (6.5%): MM (n=2); AL (n=19); plasmacytoma (n=3); smoldering MM (n=1); and MGUS (n=2) (8). In contrast, serum FLC alone would have missed 14% of patients, but the combination of serum IFE and FLC identified 99.5% of patients with a positive urine (Table III). The two patients who would have been missed had the urine IFE not been done, had low risk MGUS (8). These findings are similar to those found by Beetham et al. (9), who reported that the sensitivity and specificity of an abnormal serum rFLC as a single screening test were 0.76 and 0.96 with negative and positive predictive values of 0.98 and 0.59, respectively. The FLC assay at diagnosis is especially relevant in patients with AL amyloidosis or any disease that has predominantly FLC. Among 110 AL patients who had not been previously treated and who had a FLC assay performed within 120 days of diagnosis, the rFLC was positive in 91% of cases compared with 69% for serum IFE and 83% for urine IFE. The combination of serum IFE and serum FLC assay detected an abnormal result in 99% (109 of 110) of patients with AL (10). To date, there are no data that fully address what the FLC assay adds to the serum IFE, although

the Katzmann et al. (8) data come close. Its major deficiency in addressing this question is that the population tested included patients with positive urine IFE studies; the chosen selection criteria answered the question they posed, but increased the likelihood of a positive serum FLC assay since the median amounts of serum FLCs required to produce overflow proteinuria has been measured at 113 mg/L for  $\kappa$  (range 7–39.500 mg/L) and 278 mg/L for  $\lambda$  (range 6–710 mg/L) (11). There are several papers that demonstrate that the addition of FLC to serum PEP or capillary zone electrophoresis (CZE) increases the sensitivity of these tests, which is not surprising because they only detect monoclonal proteins large enough to be seen through a normal or polyclonal background. PEP and CZE should not be considered sufficient testing when contemplating a diagnosis of PCD. Typical sensitivity levels are 1–2 g/L for SPEP, 150–500 mg/L for IFE and intermediate in sensitivity for CZE (1). Serum FLC immunoassays have a sensitivity of less than 1 mg/L (4). The conclusion drawn from these studies and others (12–16) is that for the purpose of screening for monoclonal proteins for all diagnoses except AL, the FLC can replace the 24 hour urine IFE; yet, once a diagnosis of MG is made, the 24 hour protein IFE should be done. For AL screening, however, the urine IFE should still be done in addition to the serum tests including the serum FLC. Not only is the screening strategy of serum IFE and FLC sensible based on physiology, but also potentially from the cost and practicality perspective. Since in many laboratories the initial blood sample is accompanied by urine in only 40 to 52% of cases (9, 12) there may be cost increases. The ease of performing the FLC measurement could rectify this deficiency and lead to earlier diagnosis of these disorders.

#### *Recommendations for the use of the serum FLC assay in screening*

As shown in Table IV, the serum FLC assay in combination with serum PEP and serum IFE is sufficient to screen for pathological monoclonal PCD other than AL, which requires all the serum tests as well as the 24 hour urine IFE. If a diagnosis of a PCD is made, a 24 hour urine for PEP and IFE is essential for all patients.

#### **Prognostic value of the serum FLC assay**

The increased diagnostic sensitivity for the FLC diseases and the ability to eliminate urine in the diagnostic screen was somewhat predictable once the analytic sensitivity of the serum FLC assay was understood. A finding that emerged, but that was not entirely expected, was that baseline values of serum FLC can be used for prognostication (Table IV). The pathogenic rationale for this linkage is not well understood, but one possibility is that higher levels of FLC may be associated with IgH translocations (17) as well as increasing tumor burden (18, 22).

**Table IV** Uses of serum immunoglobulin FLC assay (18, 22–26).

|  |
|--|
| Screening in combination with IFE  |
| Baseline values prognostic   |
| Monoclonal gammopathy of undetermined significance<br>Smoldering myeloma<br>Symptomatic myeloma<br>Plasmacytoma<br>AL amyloidosis                                    |
| Hematologic response   |
| AL amyloidosis<br>»Non-secretory« myeloma*<br>Stringent complete response in multiple myeloma*<br>Light chain deposition disease<br>(Personal experience of authors) |

\* Not yet validate

#### *Monoclonal gammopathy of undetermined significance*

Approximately 1/3 of MGUS patients have an abnormal rFLC and have a higher rate of progression than those who do not. Based on the size of the monoclonal protein peak, the isotype of the heavy chain, and the rFLC, a risk model for progression of MGUS to MM has been constructed (23). For the purpose of prognostic modeling, a rFLC of <0.25 or >4 was selected as abnormal. In addition to abnormal rFLC, on multivariate modeling an M-spike greater than or equal to 1.5 g/L and a heavy chain isotype other than IgG were associated with risk of progression to MM or related disorders. The risk of progression at 20 years for patients with 0, 1, 2 or 3 risk factors was 5%, 21%, 37%, or 58%, respectively.

#### *Smoldering (asymptomatic) multiple myeloma*

In addition to the use of FLC for prognosis in MGUS, baseline rFLC is useful for assessing prognosis for progression in smoldering MM (24). Baseline serum samples were available in 273 patients with SMM seen from 1970 to 1995. Abnormal rFLC predicted for higher rates of progression, and the best breakpoint for rFLC was less than or equal to 0.125 or greater than or equal to 8. The extent of abnormality of rFLC was independent of SMM risk categories defined by the number of bone marrow plasma cells (BMPC) and size of serum M proteins (24–26). A risk model was constructed, incorporating the best breakpoint of rFLC, BMPC  $\geq 10\%$ , and serum M protein  $\geq 30$  g/L. Patients with 1, 2, or 3 risk factors had 5-year progression rates of 25%, 51%, and 76% respectively.

#### *Solitary plasmacytoma*

In a cohort of 116 patients with solitary plasmacytoma the rFLC was retrospectively determined on serum collected at time of diagnosis. An abnormal

ratio was present in 47% and associated with a higher risk of progression to myeloma ( $P=0.039$ ). The risk of progression at 5 years was 44% in patients with an abnormal serum rFLC at diagnosis compared with 26% in those with a normal rFLC. One to 2 years following the diagnosis, a persistent serum M protein level of 0.5 g/dL or higher was an additional risk factor for progression to MM. A risk stratification model was constructed using the 2 variables of rFLC (normal or abnormal) and M protein level persistence at a level of 0.5 g/dL or greater. The low risk ( $n = 31$ ), intermediate risk ( $n = 26$ ), and high risk ( $n = 18$ ) groups had 5 year progression rates of 13%, 26%, and 62%, respectively ( $P < 0.001$ ) (27).

#### *Multiple myeloma*

Several studies have shown that baseline FLC is prognostic of survival in patients with newly diagnosed MM (28). Kyrtsolis et al. found that in 94 MM patients rFLC was prognostic. Median baseline rFLC was 3.6 in  $\kappa$ -MM patients and 0.02 in  $\lambda$ -MM. 'High' rFLC (worse than median) correlated with elevated serum creatinine and lactate dehydrogenase, extensive marrow infiltration and LCMM. The 5-year disease-specific survival was 82% and 30% in patients with rFLC less extreme or more extreme than median, respectively ( $P = 0.0001$ ). The rFLC added to the International Staging System (ISS), with ISS stage 3 patients having a 5 year disease specific survival of 52% versus 16% depending on their rFLC. Van Rhee et al. have also demonstrated that among 301 patients enrolled to receive total therapy III, those with the highest levels of FLC – greater than 750 mg/L, which was the highest tercile – had the poorest outcomes. The highest baseline FLC levels were significantly associated with LCMM, elevated creatinine, beta-2-microglobulin, lactate dehydrogenase, and bone marrow plasmacytosis higher than 30%. Lastly, Snozek et al. (29) have also shown in a cohort of 790 patients diagnosed with active MM between 1995 and 1998 that baseline rFLC <0.03 or >32 ( $n=479$ ) had inferior outcomes as compared to those with an rFLC between 0.03–32 ( $n=311$ ), with median survival of 30 versus 39 months, respectively. When the abnormal rFLC was incorporated into a model using the cutoffs applied in the ISS (30) i.e. albumin <3.5 mg/L and serum  $\beta_2$ -microglobulin  $\geq 35$  mg/L, it was found that rFLC was an independent risk factor. Patients with 0, 1, 2, or 3 adverse risk factors had significantly different overall survival, with median survival times of 51, 39, 30 and 22 months, respectively,  $P < 0.001$ .

#### *Immunoglobulin light chain amyloidosis (AL)*

In a cohort of 119 patients with AL undergoing peripheral blood stem cell transplantation, there was a significantly higher risk of death in patients with higher baseline FLC (hazard ratio 2.6,  $P < 0.04$ ) (18). Base-

line FLC correlated with serum cardiac troponin levels, and higher FLC levels were associated with more organs involved by amyloid, suggesting that high FLC levels may be associated with more advanced disease.

#### *Recommendations for the use of the serum FLC assay in prognosis*

The serum FLC assay should be measured at diagnosis in all patients with MGUS, smoldering or active MM, solitary plasmacytoma, and AL amyloidosis (Table IV).

#### **Role of the FLC assay in response assessment**

Although FLC response can be considered in 3 contexts – oligosecretory diseases, LCMM, and measurable intact Ig disease – routine serial use of this assay can only be recommended for the first indication. As will be discussed below, to date there have been only a few studies that have validated the usefulness of serial FLC measurements, although efforts for standardizing the FLC response have been proposed. For serial measurements, either the involved FLC or the difference between the involved and uninvolved (dFLC) should be used. Aside from the time of diagnosis and in the context of documenting stringent complete response, the rFLC is not useful because of the not infrequently observed treatment related immunosuppression of the uninvolved ( $\kappa$  for monoclonal  $\lambda$  patients and  $\lambda$  for monoclonal  $\kappa$  patients) FLC during chemotherapy; the ratios generated when one of the FLC numbers is very low will be extreme, reflecting the degree of immunosuppression more than tumor burden (28).

#### *Recommendations for the use of the serum FLC assay in response assessment*

Serial FLC ascertainment should be routinely performed in patients with AL amyloidosis and MM

patients with oligosecretory disease. It should also be done in all patients who have achieved a complete response (CR) to determine whether they have attained a stringent CR.

#### **Conclusion**

In summary, there are four major indications for the FLC assay in the evaluation and management of MM and related clonal PCD. In the context of screening for the presence of myeloma or related disorders, the serum FLC assay in combination with serum PEP and IF yields high sensitivity, and negates the need for 24-hour urine studies when screening for MM; once diagnosis of a PCD is made, 24-hour urine studies are required for all patients. Second, the FLC assay is of major prognostic value in virtually every PCD, including MGUS, SMM, active MM, immunoglobulin light chain amyloidosis (AL) and solitary plasmacytoma. Third, the FLC assay allows for quantitative monitoring of patients with oligosecretory PCD, including patients with AL, oligosecretory myeloma, and nearly two-thirds of patients who had previously been deemed to have non-secretory myeloma. In AL patients and patients with oligosecretory myeloma, measurement of FLC is essential. The FLC assay cannot replace the 24-hour urine PEP for monitoring myeloma patients with measurable urinary M proteins. Fourth, the rFLC is a requirement for documenting stringent complete response according to the International Response Criteria. Although the serum FLC is a valuable assay in patients with PCD, there are technical limitations of the assay which make its use as a serial measurement potentially problematic including: lot-to-lot variation; assay imprecision; and instances in which it does not dilute in a linear fashion. The most important area for future investigation includes defining the clinical relevance of early FLC »response« or »relapse« in patients with measurable intact serum Ig or measurable urinary M proteins. Apart from initial diagnosis and documentation of stringent CR, its use is not advocated in these patients.

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